

Please type a plus sign (+) inside this box →

PTO/SB/82 (11-96)

Approved for use through 6/30/99. OMB 0651-0035

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## REVOCATION OF POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/734,969
Filing Date	December 12, 2000
First Named Inventor	Yongfei Zhu et al
Group Art Unit	2817
Examiner Name	Stephen E. Jones
Attorney Docket Number	283014-00025

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

A Power of Attorney or Authorization of Agent is submitted herewith.

AND

Please change the correspondence address for the above-identified application to:

Customer Number  → **\*27512\***  
**27512**

OR

<input checked="" type="checkbox"/> Firm or Individual Name	William J. Tucker	PATENT TRADEMARK OFFICE		
Address	8650 Southwestern Blvd. #2825			
Address				
City	Dallas			
Country	USA	State	TX	ZIP 75206
Telephone	(214) 368-4978	Fax	(214) 368-4978	

I am the:

Applicant.

Assignee of record of the entire interest  
*Certificate under 37 CFR 3.73(b) is enclosed*

### SIGNATURE of Applicant or Assignee of Record

Name	Louise C. Sengupta, President Paratek Microwave, Inc.
Signature	
Date	7/17/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box →

PTO/SB/81 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/734,969
Filing Date	December 12, 2000
First Named Inventor	Yongfei Zhu et al
Group Art Unit	2817
Examiner Name	Stephen E. Jones
Attorney Docket Number	283014-00025

I hereby appoint:

- Practitioners at Customer Number  
**AND**  
 Practitioner(s) named below:

\*27512\*

27512

Name	Registration Number
James S. Finn	38,450
Donald D. Mondul	29,957
Michael N. Haynes	40,014

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

- The above-mentioned Customer Number.

OR

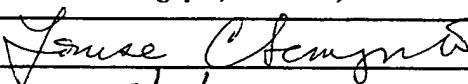
<input checked="" type="checkbox"/> Firm or Individual Name	William J. Tucker			
Address	8650 Southwestern Blvd. #2825			
Address				
City	Dallas	State	TX	Zip
Country	USA			
Telephone	(214) 368-4978	Fax	(214) 368-4978	

I am the:

- Applicant/Inventor.

- Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name	Louise C. Sengupta, President, Paratek Microwave, Inc.	
Signature		
Date	7/17/02	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

Total of \_\_\_\_\_ forms are submitted.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**CERTIFICATE UNDER 37 CFR 3.73(b)**Applicant: Yongfei Zhu et alApplication No.: 09/734,969 Filed: December 12, 2000**ELECTRONIC TUNABLE FILTERS WITH DIELECTRIC VARACTORS**

Entitled: \_\_\_\_\_

Paratek Microwave, Inc. , a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

- A.  An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B.  A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

7/17/02

Date

Signature

Louise C. Sengupta

Typed or printed name  
President, Paratek Microwave, Inc.

Title

09/734,969

283014-00025

## ASSIGNMENT

WHEREAS, WE, **YONGFEI ZHU**, residing at 5275 Rivendell Lane, Suite 6, Columbia, MD 21044, a citizen of China, and **LOUISE C. SENGUPTA**, residing at 12 New Haven Boulevard, Warwick, MD 21912, a citizen of the United States of America, respectively, have invented certain improvements in: **ELECTRONIC TUNABLE FILTERS WITH DIELECTRIC VARACTORS** which is described in United States Patent Application Serial No. 09/734,969 which was filed on December 12, 2000; and

WHEREAS, **PARATEK MICROWAVE, INC.**, a corporation organized and existing under the laws of the State of <sup>Delaware</sup> ~~Maryland~~, having its principal place of business at 6935 Oakland Mills Road, Suite N, Columbia, MD 21045, is desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of One (\$1.00) Dollar and other good and valuable consideration paid to us by said assignee, receipt whereof we hereby acknowledge, we do hereby assign, sell, transfer, and set over unto said assignee the entire right, title, and interest in and to said application and the inventions and improvements therein disclosed for the United States and all foreign countries and any Letters Patent which may issue therefor in the United States and all foreign countries and all divisions, reissues, continuations, renewals, and/or extensions thereof including all priority rights under the International Convention associated therewith for each country and the Union, said assignee to have and to hold the interests herein assigned to the full ends of the terms of said Letters Patent and any and all divisions, reissues, continuations, renewals, and/or extensions thereof, respectively, as fully and entirely as the same would have been held and enjoyed by us had this assignment not been made.

The Commissioner of Patents and Trademarks is requested to issue such Letters Patent in accordance herewith. We covenant that we are the lawful owners of the said application, inventions, and improvements, that the same are unencumbered, that no license has been granted to make, use, or vend the said inventions or improvements or any of them, and that we have the full right to make this assignment.

And for the consideration aforesaid, we agree jointly and individually that we will communicate to said assignee or the representatives thereof any facts known to us respecting said inventions and improvements, and will, upon request, but without expense to

us, testify in any legal proceedings, sign all lawful papers, execute all divisional, reissue, continuation, renewal, and/or extension applications, make all rightful oaths, and generally do all other and further lawful acts, deemed necessary or expedient by said assignee or by counsel for said assignee, to assist or enable said assignee to obtain and enforce full benefits from the rights and interests herein assigned. This assignment shall be binding upon our heirs, executors, administrators, and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors, and/or assigns, as the case may be, of said assignee.

Executed on this 27<sup>th</sup> day of February, 2001

Yongfei Zhu  
YONGFEI ZHU  
Louise C. Sengupta  
LOUISE C. SENGUPTA

State of Maryland :  
: ss.  
County of Howard :

Before me, a Notary Public in and for the said County and State, personally appeared YONGFEI ZHU and LOUISE C. SENGUPTA who acknowledged that they are the persons who executed the foregoing assignment and acknowledged it to be their free and voluntary act and deed.

Witness my hand and notarial seal this 27<sup>th</sup> day of February, 2001.

Deborah Cowling  
Notary Public

(NOTARIAL SEAL)

Exp. 12/2/03

Please type a plus sign (+) inside this box →

PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

Declaration  
Submitted with Initial  
Filing

OR

Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number 283014-00025

First Named Inventor Yongfei Zhu

**COMPLETE IF KNOWN**

Application Number 09 / 734,969

Filing Date December 12, 2000

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ELECTRONIC TUNABLE FILTERS WITH DIELECTRIC VARACTORS

the specification of which

(Title of the Invention)

is attached hereto

OR

was filed on (MM/DD/YYYY)  as United States Application Number or PCT International

Application Number  and was amended on (MM/DD/YYYY)  (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	Certified Copy Attached? NO
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
		<input type="checkbox"/>

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box →

PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.  
As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:  Customer Number **003705** →  Place Customer Number Bar Code Label here

Registered practitioner(s) name/registration number listed below

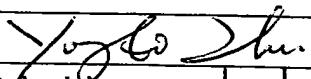
Name	Registration Number	Name	Registration Number

Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to:  Customer Number or Bar Code Label **003705** OR  Correspondence address below

Name	Robert P. Lenart				
Address	Eckert Seamans Cherin & Mellott, LLC				
Address	600 Grant Street, 44th Floor				
City	Pittsburgh	State	PA	ZIP	15219
Country	USA	Telephone	(412) 566-1252	Fax	(412) 566-6099

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:	<input type="checkbox"/> A petition has been filed for this unsigned inventor						
Given Name (first and middle if any) <b>Yongfei</b>				Family Name or Surname <b>Zhu</b>			
Inventor's Signature							Date <b>1/12/01</b>
Residence: City	Columbia	State	MD	Country	USA	Citizenship	CHINA
Post Office Address							
Post Office Address	5275 Rivendell Lane, Suite 6						
City	Columbia	State	MD	ZIP	21044	Country	USA
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto							

Please type a plus sign (+) inside this box →

PTO/SB/02A (3-97)

Approved for use through 9/30/98. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>DECLARATION</b>		<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet Page <u>1</u> of <u>1</u>
--------------------	--	----------------------------------------------------------------------------------

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor						
Given Name (first and middle [if any])				Family Name or Surname				
Sengupta				Louise C.				
Inventor's Signature	<i>Louise C. Sengupta</i>						Date	
Residence: City	Warwick	State	MD	Country	USA	Citizenship	USA	
Post Office Address								
Post Office Address	12 New Haven Boulevard							
City	Warwick	State	MD	ZIP	21912	Country	USA	
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor						
Given Name (first and middle [if any])				Family Name or Surname				
Inventor's Signature							Date	
Residence: City		State		Country		Citizenship		
Post Office Address								
Post Office Address								
City		State		ZIP		Country		
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor						
Given Name (first and middle [if any])				Family Name or Surname				
Inventor's Signature							Date	
Residence: City		State		Country		Citizenship		
Post Office Address	--							
Post Office Address								
City		State		ZIP		Country		

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECORDATION FORM COVER SHEET

**PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

Tab settings → → →

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Yongfei ZHU  
Louise C. SENGUPTA

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment       Merger  
 Security Agreement       Change of Name  
 Other \_\_\_\_\_

Execution Date: 02/27/01

2. Name and address of receiving party(ies)

Name: PARATEK MICROWAVE, INC.

Internal Address: \_\_\_\_\_

Street Address: 6935 Oakland Mills Road

Suite N

City: Columbia State: MD Zip: 21045

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 09/734,969

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael N. Haynes

Internal Address: \_\_\_\_\_

Street Address: 1341 Huntersfield Close

City: Keswick State: VA Zip: 22947

6. Total number of applications and patents involved:  1

7. Total fee (37 CFR 3.41).....\$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

50-1481

9. Signature.

Michael N. Haynes

Name of Person Signing

Signature

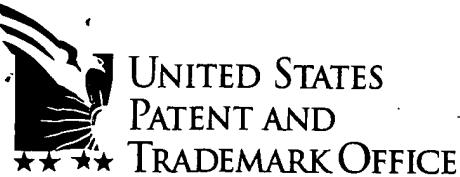
October 28, 2002

Date

Total number of pages including cover sheet, attachments, and documents:  9

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

FEBRUARY 24, 2003

PTAS

MICHAEL N. HAYNES  
1341 HUNTERSFIELD CLOSE  
KESWICK, VA 22947

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
[www.uspto.gov](http://www.uspto.gov)



\*102269824A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF  
THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS  
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER  
REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE  
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA  
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD  
FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY  
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.  
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,  
ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,  
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/28/2002

REEL/FRAME: 013436/0138  
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNEE:

ZHU, YONGFEI

DOC DATE: 02/27/2001

ASSIGNEE:

SENGUPTA, LOUISE C.

DOC DATE: 02/27/2001

ASSIGNEE:

PARATEK MICROWAVE, INC.  
6935 OAKLAND MILLS ROAD  
SUITE N  
COLUMBIA, MARYLAND 21045

SERIAL NUMBER: 09734969

FILING DATE: 12/12/2000  
ISSUE DATE:

SHARON LATIMER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



OCT 28 2002

11-04-2002



102269824

RE U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office(Rev. 03/01)  
OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings → → →

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Yongfei ZHU

Louise C. SENGUPTA

10.28.02

Additional name(s) of conveying party(ies) attached?  Yes  No

## 3. Nature of conveyance:

 Assignment Merger Security Agreement Change of Name Other \_\_\_\_\_

Execution Date: 02/27/01

## 2. Name and address of receiving party(ies)

Name: PARATEK MICROWAVE, INC.

Internal Address: \_\_\_\_\_

Street Address: 6935 Oakland Mills Road

Suite N

City: Columbia State: MD Zip: 21045

Additional name(s) & address(es) attached?  Yes  No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 09/734,969

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached?  Yes  No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael N. Haynes

Internal Address: \_\_\_\_\_

Street Address: 1341 Huntersfield Close

City: Keswick State: VA Zip: 22947

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

 Enclosed Authorized to be charged to deposit account

## 8. Deposit account number:

50-1481

## 9. Signature.

Michael N. Haynes

Name of Person Signing

Signature

October 28, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 9

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

03-29-2002

Form PTO-1595  
(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings → → →



102036474

IEET

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Yongfei ZHU  
Louise C. SENGUPTA

2-27-02

Additional name(s) of conveying party(ies) attached?  Yes  No

## 3. Nature of conveyance:

- Assignment       Merger  
 Security Agreement       Change of Name  
 Other Corrective document submission for assignment recorded at Reel/Frame 011718/0699.

Execution Date: \_\_\_\_\_

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## A. Patent Application No.(s)

09/734,969

## B. Patent No.(s)

Additional numbers attached?  Yes  No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert P. LENART

Internal Address: Pietragallo, Bosick &amp; Gordon

Street Address: One Oxford Centre, 38th Floor

301 Grant Street

City: Pittsburgh State: PA Zip: 15219

6. Total number of applications and patents involved:  1

7. Total fee (37 CFR 3.41).....\$ 40.00

 Enclosed Authorized to be charged to deposit account

## 8. Deposit account number:

500859

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert P. LENART

Name of Person Signing

Robert P. Lenart

Signature

Feb. 15, 2002

Date

6

Total number of pages including cover sheet, attachments, and documents:

03/28/2002 DBYRNE 00000124 500859 09/734,969

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

01 FC:581

40.00 CH

09/734,969

283014-00025

## ASSIGNMENT

WHEREAS, WE, **YONGFEI ZHU**, residing at 5275 Rivendell Lane, Suite 6, Columbia, MD 21044, a citizen of China, and **LOUISE C. SENGUPTA**, residing at 12 New Haven Boulevard, Warwick, MD 21912, a citizen of the United States of America, respectively, have invented certain improvements in: **ELECTRONIC TUNABLE FILTERS WITH DIELECTRIC VARACTORS** which is described in United States Patent Application Serial No. 09/734,969 which was filed on December 12, 2000; and

WHEREAS, **PARATEK MICROWAVE, INC.**, a corporation organized and existing under the laws of the State of <sup>Delaware</sup> ~~Maryland~~, having its principal place of business at 6935 Oakland Mills Road, Suite N, Columbia, MD 21045, is desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of One (\$1.00) Dollar and other good and valuable consideration paid to us by said assignee, receipt whereof we hereby acknowledge, we do hereby assign, sell, transfer, and set over unto said assignee the entire right, title, and interest in and to said application and the inventions and improvements therein disclosed for the United States and all foreign countries and any Letters Patent which may issue therefor in the United States and all foreign countries and all divisions, reissues, continuations, renewals, and/or extensions thereof including all priority rights under the International Convention associated therewith for each country and the Union, said assignee to have and to hold the interests herein assigned to the full ends of the terms of said Letters Patent and any and all divisions, reissues, continuations, renewals, and/or extensions thereof, respectively, as fully and entirely as the same would have been held and enjoyed by us had this assignment not been made.

The Commissioner of Patents and Trademarks is requested to issue such Letters Patent in accordance herewith. We covenant that we are the lawful owners of the said application, inventions, and improvements, that the same are unencumbered, that no license has been granted to make, use, or vend the said inventions or improvements or any of them, and that we have the full right to make this assignment.

And for the consideration aforesaid, we agree jointly and individually that we will communicate to said assignee or the representatives thereof any facts known to us respecting said inventions and improvements, and will, upon request, but without expense to

us, testify in any legal proceedings, sign all lawful papers, execute all divisional, reissue, continuation, renewal, and/or extension applications, make all rightful oaths, and generally do all other and further lawful acts, deemed necessary or expedient by said assignee or by counsel for said assignee, to assist or enable said assignee to obtain and enforce full benefits from the rights and interests herein assigned. This assignment shall be binding upon our heirs, executors, administrators, and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors, and/or assigns, as the case may be, of said assignee.

Executed on this 27<sup>th</sup> day of February, 2001

Yongfei Zhu  
YONGFEI ZHU  
Louise C. Sengupta  
LOUISE C. SENGUPTA

State of Maryland: ss.  
County of Howard:

Before me, a Notary Public in and for the said County and State, personally appeared **YONGFEI ZHU** and **LOUISE C. SENGUPTA** who acknowledged that they are the persons who executed the foregoing assignment and acknowledged it to be their free and voluntary act and deed.

Witness my hand and notarial seal this 27<sup>th</sup> day of February, 2001.

Deborah Cowling  
Notary Public

(NOTARIAL SEAL)

Exp. 12/2/03

## ASSIGNMENT

WHEREAS, WE, **YONGFEI ZHU**, residing at 5275 Rivendell Lane, Suite 6, Columbia, MD 21044, a citizen of China, and **LOUISE C. SENGUPTA**, residing at 12 New Haven Boulevard, Warwick, MD 21912, a citizen of the United States of America, respectively, have invented certain improvements in: **ELECTRONIC TUNABLE FILTERS WITH DIELECTRIC VARACTORS** which is described in United States Patent Application Serial No. 09/734,969 which was filed on December 12, 2000; and

WHEREAS, **PARATEK MICROWAVE, INC.**, a corporation organized and existing under the laws of the State of Maryland, having its principal place of business at 6935 Oakland Mills Road, Suite N, Columbia, MD 21045, is desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of One (\$1.00) Dollar and other good and valuable consideration paid to us by said assignee, receipt whereof we hereby acknowledge, we do hereby assign, sell, transfer, and set over unto said assignee the entire right, title, and interest in and to said application and the inventions and improvements therein disclosed for the United States and all foreign countries and any Letters Patent which may issue therefor in the United States and all foreign countries and all divisions, reissues, continuations, renewals, and/or extensions thereof including all priority rights under the International Convention associated therewith for each country and the Union, said assignee to have and to hold the interests herein assigned to the full ends of the terms of said Letters Patent and any and all divisions, reissues, continuations, renewals, and/or extensions thereof, respectively, as fully and entirely as the same would have been held and enjoyed by us had this assignment not been made.

The Commissioner of Patents and Trademarks is requested to issue such Letters Patent in accordance herewith. We covenant that we are the lawful owners of the said application, inventions, and improvements, that the same are unencumbered, that no license has been granted to make, use, or vend the said inventions or improvements or any of them, and that we have the full right to make this assignment.

And for the consideration aforesaid, we agree jointly and individually that we will communicate to said assignee or the representatives thereof any facts known to us respecting said inventions and improvements, and will, upon request, but without expense to

us, testify in any legal proceedings, sign all lawful papers, execute all divisional, reissue, continuation, renewal, and/or extension applications, make all rightful oaths, and generally do all other and further lawful acts, deemed necessary or expedient by said assignee or by counsel for said assignee, to assist or enable said assignee to obtain and enforce full benefits from the rights and interests herein assigned. This assignment shall be binding upon our heirs, executors, administrators, and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors, and/or assigns, as the case may be, of said assignee.

Executed on this 27<sup>th</sup> day of February, 2001

Yongfei Zhu  
YONGFEI ZHU  
Louise Sengupta  
LOUISE C. SENGUPTA

State of Maryland: ss.  
County of Howard:

Before me, a Notary Public in and for the said County and State, personally appeared **YONGFEI ZHU** and **LOUISE C. SENGUPTA** who acknowledged that they are the persons who executed the foregoing assignment and acknowledged it to be their free and voluntary act and deed.

Witness my hand and notarial seal this 27<sup>th</sup> day of February, 2001.

Deborah Cowling  
Notary Public

(NOTARIAL SEAL)

Exp. 12/2/03

**UNITED STATES DEPARTMENT OF COMMERCE****Patent and Trademark Office**ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JULY 07, 2001

PTAS

ECKERT SEAMANS CHERIN & MELLOTT, LLC  
ROBERT P. LENART  
600 GRANT STREET, 44TH FLOOR  
PITTSBURGH, PA 15219

\*101692

**RECEIVED****ENTERED**  
**07/16/01**

JUL 13 2001

FBI - G  
IP DEPT.UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/16/2001

REEL/FRAME: 011718/0699

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNEE:

ZHU, YONGFEI

DOC DATE: 02/27/2001

## ASSIGNEE:

SENGUPTA, LOUISE C.

DOC DATE: 02/27/2001

## ASSIGNEE:

PARATEK MICROWAVE, INC.  
6935 OAKLAND MILLS ROAD, SUITE N  
COLUMBIA, MARYLAND 21045

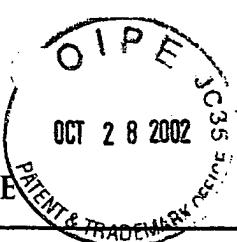
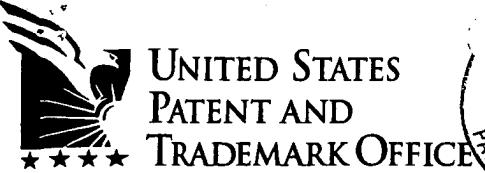
SERIAL NUMBER: 09734969

FILING DATE: 12/12/2000

PATENT NUMBER:

ISSUE DATE:

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

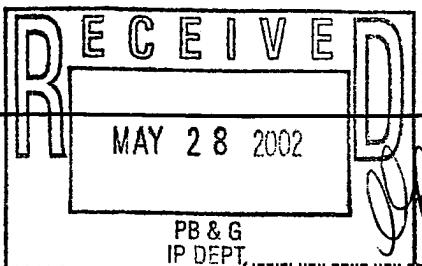


PARA-25  
PARA 47991

MAY 21, 2002

PTAS

PIETRAGALLO, BOSICK & GORDON  
ROBERT P. LENART  
ONE OXFORD CENTRE, 38TH FLOOR  
301 GRANT ST.  
PITTSBURGH, PA 15219



Chief Information Officer  
Washington, DC 20231  
[www.uspto.gov](http://www.uspto.gov)

\*102036474A\*



DOCUMENT ID NO.: 102036474

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. THE COVER SHEET SUBMITTED TO CORRECT ERRORS IN THE PREVIOUSLY RECORDED DOCUMENT IS NOT ACCEPTABLE. TO CORRECT AN ERROR IN A RECORDED DOCUMENT, A CORRECTED COVER SHEET MUST BE ACCOMPANIED BY THE ORIGINALLY RECORDED DOCUMENT OR A COPY OF THE ORIGINALLY RECORDED DOCUMENT AND THE RECORDING FEE FOR THE CORRECTIONS AS SET FORTH IN 37 CFR 3.34.

SEDLEY PYNE, PARALEGAL

ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JULY 07, 2001

PTAS  
ECKERT SEAMANS CHERIN & MELLOTT, LLC  
ROBERT P. LENART  
600 GRANT STREET, 44TH FLOOR  
PITTSBURGH, PA 15219



\*101694

RECEIVED

ENTERED  
07/16/01 JLP JUL 13 2001

PAT & T  
IP DEPT.

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/16/2001

REEL/FRAME: 011718/0699  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ZHU, YONGFEI

DOC DATE: 02/27/2001

ASSIGNOR:

SENGUPTA, LOUISE C.

DOC DATE: 02/27/2001

ASSIGNEE:

PARATEK MICROWAVE, INC.  
6935 OAKLAND MILLS ROAD, SUITE N  
COLUMBIA, MARYLAND 21045

SERIAL NUMBER: 09734969

FILING DATE: 12/12/2000  
PATENT NUMBER:

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

04-27-2001

U.S. Department of Commerce

Patent and Trademark Office

PATENT



101692421  
RECORDATION FORM COVER SHEET  
PATENTS ONLY

APR 16 2001

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

New

Resubmission (Non-Recordation)

Document ID#

Correction of PTO Error

Reel #  Frame #

Corrective Document

Reel #  Frame #

Conveyance Type

Assignment

Security Agreement

License

Change of Name

Merger

Other

**U.S. Government**

(For Use ONLY by U.S. Government Agencies)

Departmental File

Secret File

Conveying Party(ies)

Mark if additional names of conveying parties attached      Execution Date  
Month Day Year

Name (line 1)  YONGFEI ZHU

2/27/2001

Name (line 2)

Second Party

Name (line 1) LOUISE C. SENGUPTA

Execution Date  
Month Day Year

2/27/2001

Name (line 2)

Receiving Party

09734969

Mark if additional names of receiving parties attached

Name (line 1) PARATEK MICROWAVE, INC.

If document to be recorded  
is an assignment and the  
receiving party is not  
domiciled in the United  
States, an appointment  
of a domestic  
representative is attached.  
*(Designation must be a  
separate document from  
Assignment.)*

Name (line 2)

Address (line 1) 6935 OAKLAND MILLS ROAD, SUITE N

Address (line 2)

Address (line 3) COLUMBIA

City

MARYLAND

State/Country

21045

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

04/26/2001 DBYRNE 00000340 09734969

01 FC:581

40.00 DP

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**Correspondent Name and Address**

Area Code and Telephone Number

412.566.1252

Name  Robert P. Lenart

Address (line 1)  Eckert Seamans Cherin & Mellott, LLC

Address (line 2)  600 Grant Street, 44th Floor

Address (line 3)  Pittsburgh, PA 15219

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document including any attachments.

#  4

**Application Number(s) or Patent Number(s)**

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/734,969	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month  Day  Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>
PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>

**Number of Properties**

Enter the total number of properties involved. #  1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$  \$40.00

Method of Payment:  
Deposit Account

Enclosed  Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #  02-2556

Authorization to charge additional fees: Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Robert P. Lenart

*Robert P. Lenart*

4/12/2001

Name of Person Signing

Signature

Date

## **ASSIGNMENT**

WHEREAS, WE, **YONGFEI ZHU**, residing at 5275 Rivendell Lane, Suite 6, Columbia, MD 21044, a citizen of China, and **LOUISE C. SENGUPTA**, residing at 12 New Haven Boulevard, Warwick, MD 21912, a citizen of the United States of America, respectively, have invented certain improvements in: **ELECTRONIC TUNABLE FILTERS WITH DIELECTRIC VARACTORS** which is described in United States Patent Application Serial No. 09/734,969 which was filed on December 12, 2000; and

WHEREAS, **PARATEK MICROWAVE, INC.**, a corporation organized and existing under the laws of the State of Maryland, having its principal place of business at 6935 Oakland Mills Road, Suite N, Columbia, MD 21045, is desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of One (\$1.00) Dollar and other good and valuable consideration paid to us by said assignee, receipt whereof we hereby acknowledge, we do hereby assign, sell, transfer, and set over unto said assignee the entire right, title, and interest in and to said application and the inventions and improvements therein disclosed for the United States and all foreign countries and any Letters Patent which may issue therefor in the United States and all foreign countries and all divisions, reissues, continuations, renewals, and/or extensions thereof including all priority rights under the International Convention associated therewith for each country and the Union, said assignee to have and to hold the interests herein assigned to the full ends of the terms of said Letters Patent and any and all divisions, reissues, continuations, renewals, and/or extensions thereof, respectively, as fully and entirely as the same would have been held and enjoyed by us had this assignment not been made.

The Commissioner of Patents and Trademarks is requested to issue such Letters Patent in accordance herewith. We covenant that we are the lawful owners of the said application, inventions, and improvements, that the same are unencumbered, that no license has been granted to make, use, or vend the said inventions or improvements or any of them, and that we have the full right to make this assignment.

And for the consideration aforesaid, we agree jointly and individually that we will communicate to said assignee or the representatives thereof any facts known to us respecting said inventions and improvements, and will, upon request, but without expense to

us, testify in any legal proceedings, sign all lawful papers, execute all divisional, reissue, continuation, renewal, and/or extension applications, make all rightful oaths, and generally do all other and further lawful acts, deemed necessary or expedient by said assignee or by counsel for said assignee, to assist or enable said assignee to obtain and enforce full benefits from the rights and interests herein assigned. This assignment shall be binding upon our heirs, executors, administrators, and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors, and/or assigns, as the case may be, of said assignee.

Executed on this 27<sup>th</sup> day of February, 2001

Yongfei Zhu  
YONGFEI ZHU  
Louise C. Sengupta  
LOUISE C. SENGUPTA

State of Maryland:  
: ss.  
County of Howard:

Before me, a Notary Public in and for the said County and State, personally appeared **YONGFEI ZHU** and **LOUISE C. SENGUPTA** who acknowledged that they are the persons who executed the foregoing assignment and acknowledged it to be their free and voluntary act and deed.

Witness my hand and notarial seal this 27<sup>th</sup> day of February, 2001.

Deborah Cowling  
Notary Public

(NOTARIAL SEAL)

Exp. 12/2/03

CORRECTIVE DOCUMENT

PARA -25

Form PTO-1595  
(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

Tab settings ⇡ ⇢ ⇣

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Yongfei ZHU  
Louise C. SENGUPTA

2. Name and address of receiving party(ies)

Name: PARATEK MICROWAVE, INC.

Internal Address:

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

Assignment

Merger

Security Agreement

Change of Name

Other Corrective document submission for assignment recorded at Reel/Frame 011718/0699.

Execution Date:

Street Address: 6935 Oakland Mills Road

Suite N

City: Columbia State: MD Zip: 21045

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/734,969

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert P. LENART

Internal Address: Pietragallo, Bosick & Gordon

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41).....\$

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

500859

(Attach duplicate copy of this page if paying by deposit account)

**DO NOT USE THIS SPACE**

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Robert P. LENART

Name of Person Signing

Robert P. Lenart

Signature

Feb. 15, 2002

Date

Total number of pages including cover sheet, attachments, and documents:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

## ASSIGNMENT

WHEREAS, WE, **YONGFEI ZHU**, residing at 5275 Rivendell Lane, Suite 6, Columbia, MD 21044, a citizen of China, and **LOUISE C. SENGUPTA**, residing at 12 New Haven Boulevard, Warwick, MD 21912, a citizen of the United States of America, respectively, have invented certain improvements in: **ELECTRONIC TUNABLE FILTERS WITH DIELECTRIC VARACTORS** which is described in United States Patent Application Serial No. 09/734,969 which was filed on December 12, 2000; and

WHEREAS, **PARATEK MICROWAVE, INC.**, a corporation organized and existing under the laws of the State of ~~Maryland~~<sup>Delaware</sup>, having its principal place of business at 6935 Oakland Mills Road, Suite N, Columbia, MD 21045, is desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of One (\$1.00) Dollar and other good and valuable consideration paid to us by said assignee, receipt whereof we hereby acknowledge, we do hereby assign, sell, transfer, and set over unto said assignee the entire right, title, and interest in and to said application and the inventions and improvements therein disclosed for the United States and all foreign countries and any Letters Patent which may issue therefor in the United States and all foreign countries and all divisions, reissues, continuations, renewals, and/or extensions thereof including all priority rights under the International Convention associated therewith for each country and the Union, said assignee to have and to hold the interests herein assigned to the full ends of the terms of said Letters Patent and any and all divisions, reissues, continuations, renewals, and/or extensions thereof, respectively, as fully and entirely as the same would have been held and enjoyed by us had this assignment not been made.

The Commissioner of Patents and Trademarks is requested to issue such Letters Patent in accordance herewith. We covenant that we are the lawful owners of the said application, inventions, and improvements, that the same are unencumbered, that no license has been granted to make, use, or vend the said inventions or improvements or any of them, and that we have the full right to make this assignment.

And for the consideration aforesaid, we agree jointly and individually that we will communicate to said assignee or the representatives thereof any facts known to us respecting said inventions and improvements, and will, upon request, but without expense to

us, testify in any legal proceedings, sign all lawful papers, execute all divisional, reissue, continuation, renewal, and/or extension applications, make all rightful oaths, and generally do all other and further lawful acts, deemed necessary or expedient by said assignee or by counsel for said assignee, to assist or enable said assignee to obtain and enforce full benefits from the rights and interests herein assigned. This assignment shall be binding upon our heirs, executors, administrators, and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors, and/or assigns, as the case may be, of said assignee.

Executed on this 27<sup>th</sup> day of February, 2001

Yongfei Zhu  
YONGFEI ZHU  
Louise Sengupta  
LOUISE C. SENGUPTA

State of Maryland :  
: ss.  
County of Howard :

Before me, a Notary Public in and for the said County and State, personally appeared YONGFEI ZHU and LOUISE C. SENGUPTA who acknowledged that they are the persons who executed the foregoing assignment and acknowledged it to be their free and voluntary act and deed.

Witness my hand and notarial seal this 27<sup>th</sup> day of February, 2001.

Deborah Cowling  
Notary Public

(NOTARIAL SEAL)

Exp. 12/2/03



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JULY 07, 2001

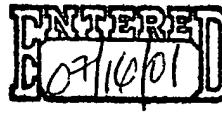
PTAS

ECKERT SEAMANS CHERIN & MELLOTT, LLC  
ROBERT P. LENART  
600 GRANT STREET, 44TH FLOOR  
PITTSBURGH, PA 15219



\*10169

RECEIVED



PAT & T  
IP DEPT.

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/16/2001

REEL/FRAME: 011718/0699  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNEE:

ZHU, YONGFEI

DOC DATE: 02/27/2001

ASSIGNEE:

SENGUPTA, LOUISE C.

DOC DATE: 02/27/2001

ASSIGNEE:

PARATEK MICROWAVE, INC.  
6935 OAKLAND MILLS ROAD, SUITE N  
COLUMBIA, MARYLAND 21045

SERIAL NUMBER: 09734969  
PATENT NUMBER:

FILING DATE: 12/12/2000  
ISSUE DATE:

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

## ASSIGNMENT

WHEREAS, WE, **YONGFEI ZHU**, residing at 5275 Rivendell Lane, Suite 6, Columbia, MD 21044, a citizen of China, and **LOUISE C. SENGUPTA**, residing at 12 New Haven Boulevard, Warwick, MD 21912, a citizen of the United States of America, respectively, have invented certain improvements in: **ELECTRONIC TUNABLE FILTERS WITH DIELECTRIC VARACTORS** which is described in United States Patent Application Serial No. 09/734,969 which was filed on December 12, 2000; and

WHEREAS, **PARATEK MICROWAVE, INC.**, a corporation organized and existing under the laws of the State of Maryland, having its principal place of business at 6935 Oakland Mills Road, Suite N, Columbia, MD 21045, is desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of One (\$1.00) Dollar and other good and valuable consideration paid to us by said assignee, receipt whereof we hereby acknowledge, we do hereby assign, sell, transfer, and set over unto said assignee the entire right, title, and interest in and to said application and the inventions and improvements therein disclosed for the United States and all foreign countries and any Letters Patent which may issue therefor in the United States and all foreign countries and all divisions, reissues, continuations, renewals, and/or extensions thereof including all priority rights under the International Convention associated therewith for each country and the Union, said assignee to have and to hold the interests herein assigned to the full ends of the terms of said Letters Patent and any and all divisions, reissues, continuations, renewals, and/or extensions thereof, respectively, as fully and entirely as the same would have been held and enjoyed by us had this assignment not been made.

The Commissioner of Patents and Trademarks is requested to issue such Letters Patent in accordance herewith. We covenant that we are the lawful owners of the said application, inventions, and improvements, that the same are unencumbered, that no license has been granted to make, use, or vend the said inventions or improvements or any of them, and that we have the full right to make this assignment.

And for the consideration aforesaid, we agree jointly and individually that we will communicate to said assignee or the representatives thereof any facts known to us respecting said inventions and improvements, and will, upon request, but without expense to

us, testify in any legal proceedings, sign all lawful papers, execute all divisional, reissue, continuation, renewal, and/or extension applications, make all rightful oaths, and generally do all other and further lawful acts, deemed necessary or expedient by said assignee or by counsel for said assignee, to assist or enable said assignee to obtain and enforce full benefits from the rights and interests herein assigned. This assignment shall be binding upon our heirs, executors, administrators, and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors, and/or assigns, as the case may be, of said assignee.

Executed on this 27<sup>th</sup> day of February, 2001

Yongfei Zhu  
YONGFEI ZHU  
Louise C. Sengupta  
LOUISE C. SENGUPTA

State of Maryland:  
: ss.  
County of Howard:

Before me, a Notary Public in and for the said County and State, personally appeared **YONGFEI ZHU** and **LOUISE C. SENGUPTA** who acknowledged that they are the persons who executed the foregoing assignment and acknowledged it to be their free and voluntary act and deed.

Witness my hand and notarial seal this 27<sup>th</sup> day of February, 2001.

Deborah Cowling  
Notary Public

(NOTARIAL SEAL)

Exp. 12/2/03

The dating stamp of the Patent Office  
on this card will be taken as an indication  
that the accompanying paper was filed.

Applicant(s) Zhu et al.

Notice of REcordation with  
attached assign. as recor-  
ded

Serial No. 09/734,969

REcordation cover sheet  
indicating lneeded correc-  
tion

Paper Dated Feb. 15, 2002

Copy of assignment with  
initialaled correxction

Atty's File No. PARA-25

Please charge dep. acct.#  
500859 \$40.00 fee.

RPL/bal

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

10: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

- New  
 Resubmission (Non-Recordation)  
Document ID#   
 Correction of PTO Error  
Reel #  Frame #   
 Corrective Document  
Reel #  Frame #

**Conveyance Type**

- Assignment  Security Agreement  
 License  Change of Name  
 Merger  Other   
**U.S. Government**  
(For Use ONLY by U.S. Government Agencies)  
 Departmental File  Secret File

**Conveying Party(ies)**

Mark if additional names of conveying parties attached      Execution Date  
Month Day Year  
2/27/2001

Name (line 1)  YONGFEI ZHU

Name (line 2)

**Second Party**

Name (line 1)  LOUISE C. SENGUPTA

Name (line 2)

Execution Date  
Month Day Year  
2/27/2001

**Receiving Party**

Mark if additional names of receiving parties attached

If document to be recorded  
is an assignment and the  
receiving party is not  
domiciled in the United  
States, an appointment  
of a domestic  
representative is attached.  
(Designation must be a  
separate document from  
Assignment.)

Name (line 1)  PARATEK MICROWAVE, INC.

Name (line 2)

Address (line 1)  6935 OAKLAND MILLS ROAD, SUITE N

Address (line 2)

Address (line 3)  COLUMBIA      City  MARYLAND      State/Country  21045      Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**FOR OFFICE USE ONLY**

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**Correspondent Name and Address**

Area Code and Telephone Number

412.566.1252

Name  Robert P. Lenart

Address (line 1)  Eckert Seamans Cherin & Mellott, LLC

Address (line 2)  600 Grant Street, 44th Floor

Address (line 3)  Pittsburgh, PA 15219

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document  
including any attachments.

#  4

**Application Number(s) or Patent Number(s)**

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

09/734,969	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

**Patent Number(s)**

<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month  Day  Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

PCT

PCT

PCT

only if a U.S. Application Number

has not been assigned.

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

#  1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$  \$40.00

Method of Payment:

Deposit Account

Enclosed

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #  02-2556

Authorization to charge additional fees:

Yes

No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Robert P. Lenart

*Robert P. Lenart*

4/12/2001

Name of Person Signing

Signature

Date

## ASSIGNMENT

WHEREAS, WE, **YONGFEI ZHU**, residing at 5275 Rivendell Lane, Suite 6, Columbia, MD 21044, a citizen of China, and **LOUISE C. SENGUPTA**, residing at 12 New Haven Boulevard, Warwick, MD 21912, a citizen of the United States of America, respectively, have invented certain improvements in: **ELECTRONIC TUNABLE FILTERS WITH DIELECTRIC VARACTORS** which is described in United States Patent Application Serial No. 09/734,969 which was filed on December 12, 2000; and

WHEREAS, **PARATEK MICROWAVE, INC.**, a corporation organized and existing under the laws of the State of Maryland, having its principal place of business at 6935 Oakland Mills Road, Suite N, Columbia, MD 21045, is desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of One (\$1.00) Dollar and other good and valuable consideration paid to us by said assignee, receipt whereof we hereby acknowledge, we do hereby assign, sell, transfer, and set over unto said assignee the entire right, title, and interest in and to said application and the inventions and improvements therein disclosed for the United States and all foreign countries and any Letters Patent which may issue therefor in the United States and all foreign countries and all divisions, reissues, continuations, renewals, and/or extensions thereof including all priority rights under the International Convention associated therewith for each country and the Union, said assignee to have and to hold the interests herein assigned to the full ends of the terms of said Letters Patent and any and all divisions, reissues, continuations, renewals, and/or extensions thereof, respectively, as fully and entirely as the same would have been held and enjoyed by us had this assignment not been made.

The Commissioner of Patents and Trademarks is requested to issue such Letters Patent in accordance herewith. We covenant that we are the lawful owners of the said application, inventions, and improvements, that the same are unencumbered, that no license has been granted to make, use, or vend the said inventions or improvements or any of them, and that we have the full right to make this assignment.

And for the consideration aforesaid, we agree jointly and individually that we will communicate to said assignee or the representatives thereof any facts known to us respecting said inventions and improvements, and will, upon request, but without expense to

us, testify in any legal proceedings, sign all lawful papers, execute all divisional, reissue, continuation, renewal, and/or extension applications, make all rightful oaths, and generally do all other and further lawful acts, deemed necessary or expedient by said assignee or by counsel for said assignee, to assist or enable said assignee to obtain and enforce full benefits from the rights and interests herein assigned. This assignment shall be binding upon our heirs, executors, administrators, and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors, and/or assigns, as the case may be, of said assignee.

Executed on this 27<sup>th</sup> day of February, 2001

Yongfei Zhu  
YONGFEI ZHU  
Louise C. Sengupta  
LOUISE C. SENGUPTA

State of Maryland :  
: ss.  
County of Howard :

Before me, a Notary Public in and for the said County and State, personally appeared **YONGFEI ZHU** and **LOUISE C. SENGUPTA** who acknowledged that they are the persons who executed the foregoing assignment and acknowledged it to be their free and voluntary act and deed.

Witness my hand and notarial seal this 27<sup>th</sup> day of February, 2001.

Deborah Cowling  
Notary Public

(NOTARIAL SEAL)

Exp. 12/2/03